

05-30-01

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05/29/01  
jc962 U.S. PTO

Practitioner's Docket No. D-1067 R3

PATENT  
11002 U.S. PTO  
09/867183  
05/29/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Robert D. Symonds, Irek Singer, Peter St. George and Robert Bradley Gill

For (title): FINANCIAL TRANSACTION PROCESSING SYSTEM AND METHOD

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

- A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application


CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is *mandatory*.)

(Express Mail certification is *optional*.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 5/29/2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL820016995US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ralph E. Jocke  
(type or print name of person mailing paper)

  
Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

09867183-052901

93 Page(s) of Specification  
14 Page(s) of Claims  
30 Sheet(s) of Drawing(s)—Informal

**B. Other Papers Enclosed**

8 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Additional Papers Enclosed**

Amendment to claims

Cancel in this applications claims 7-35 before calculating the filing fee.

Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)

Preliminary Amendment

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

Request to amend drawings and 29 sheets of formal drawings

Non-publication Request

**4. Declaration or Oath**

Enclosed

Executed by:  
● inventors.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Diebold, Incorporated is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

FO6250" EBT/9850

8. **Fee Calculation (37 C.F.R. 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c))	8	- 20 =	0 x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	2	- 3 =	0 x	\$80.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	\$0.00

Filing Fee Calculation

\$710.00

9. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$710.00

Recording assignment  
(\$40; 37 C.F.R. 1.21(h))  
(See attached "COVER SHEET FOR  
ASSIGNMENT ACCOMPANYING NEW  
APPLICATION".)

**Total Fees Enclosed**

**\$710.00**

FOI b 7 - EBT 29860

**10. Method of Payment of Fees**

Charge Account No. 09-0428 in the amount of \$710.00.  
A duplicate of this transmittal is attached.

**11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428 (InterBold).

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fees)

**12. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold).

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATIONS CLAIMED**

**13. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

**A. 35 U.S.C. §§ 120, 121 and 365(c)**

"This application is a divisional of copending application

Number 09/483,104 filed January 14, 2000 which is a divisional of  
application number 08/813,510 filed on March 7, 1997, now Patent No. 6,039,245."

"The nonprovisional application designated above, namely application 08/813,510, filed March 7, 1997, claims the benefit of U.S. Provisional Application Nos.:

**APPLICATION NO.**

**FILING DATE**

60/019,544

06/10/1996"

60/021,871

07/17/1996"

60/025,266

09/17/1996"

**14. Maintenance of Copendency of Prior Application**

**15. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.



SIGNATURE OF PRACTITIONER

Reg. No. 31,029

Tel. No.: (330) 721-0000

Ralph E. Jocke  
231 South Broadway  
Medina, OH 44256

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T06250" EBT29860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Symonds, et al. )  
Serial No.: (unknown) )  
Filed: Herewith )  
For: FINANCIAL TRANSACTION PROCESSING )  
SYSTEM AND METHOD )

**Non-publication Request Pursuant to 37 C.F.R. § 1.213**

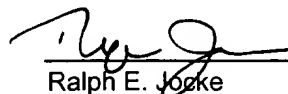
Box Patent Application  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

THE ABOVE REFERENCED APPLICATION FILED HERewith IS NOT TO BE  
PUBLISHED UNDER 35 U.S.C. § 122(b).

It is hereby certified that the invention disclosed in the above-referenced application has not been and (unless this non-publication request is first rescinded in accordance with 37 C.F.R. § 1.213(b)) will not be the subject of an application filed in another country, or filed under a multilateral agreement, that requires publication of the application at eighteen (18) months after filing.

Respectfully submitted,



Ralph E. Jorke  
231 South Broadway  
Medina, Ohio 44256  
(330) 721-0000

Reg. No. 31,029

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